

2184

PATENT  
016295.0672

03-19-2

Pursuant to 37 C.F.R. 1.8, I hereby certify that I have information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on February 26, 2002.

*[Signature]*  
Signature

2-26-2002  
Date

RECEIVED  
MAR 14 2002  
Technology Center 2100

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mashayekhi, et al.

Serial No.: 09/927,047

Filed: August 9, 2001

Invention: Failover System and Method  
for Cluster Environment

Commissioner for Patents  
Washington, D.C. 20231

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Group No.: 2184

Examiner: Not Yet Assigned

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. A copy of the cited art is enclosed for the convenience of the Examiner. Pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

The information disclosure statement submitted herewith is being submitted before the mailing of the first office action on the merits. Applicants believe that no fee is

required. If a fee is required, please charge any fee to Deposit Account No. 02-0383 of Baker Botts L.L.P.

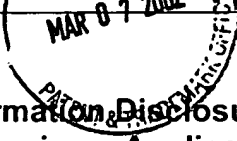
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'R. Fulghum', is written over a horizontal line.

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Attorney Docket No.: 016295.0672

Date: February 26, 2002

PTO-1449	 <b>Information Disclosure Citation in an Application</b>	Application No. 09/927,047	Applicant(s) Mashayekhi, et al.	
		Docket Number 016295.0672	Group Art Unit 2184	Filing Date <b>MAR 14 2002</b>

## U.S. PATENT DOCUMENTS

Technology Center 2100

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,612,865	3/18/97	Dasgupta	364	184	6/1/95
	B	5,696,895	12/9/97	Hemphill et al.	395	182.02	6/19/95
	C	5,781,716	7/14/98	Hemphill et al.	395	182.02	2/19/97
	D	5,915,095	6/22/99	Miskowiec	395	200.53	8/8/95
	E	5,852,724	12/22/98	Glenn, II et al.	395	200.69	6/18/96
	F	5,938,732	8/17/99	Lim et al.	709	229	12/9/96
	G	6,078,957	6/20/00	Adelman et al.	709	224	11/20/98
	H	6,078,960	6/20/00	Ballard	709	229	7/3/98
	I	6,115,830	9/5/00	Zabarsky et al.	714	15	3/28/98
	J	6,119,143	9/12/00	Dias et al.	709	201	5/22/97
	K	6,138,159	10/24/00	Phaal	709	226	6/11/98
	L	6,145,089	11/7/00	Le et al.	714	4	11/10/97
	M	6,202,149	3/13/01	Hedegard	713	100	9/30/98

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	N	EP 1 024 428 A2	8/2/00	EP	G06F 9	50	X	

## NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	O	U.S. Patent Application Serial No. 09/637,093, "A Cluster-Based System and Method of Recovery from Server Failures," Nam Nguyen, et al., filed 8/10/00.	08/10/00
	P	U.S. Patent Application Serial No. 09/770,523, "System and Method for Identifying Memory Modules Having a Failing or Defective Address," filed 1/26/01.	1/26/01

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.